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JUN 11 2001 PTO 11 JUN 2001 #6

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Toshiaki NAGAI

Application No.: 09/807,222

Filed: April 11, 2001

Docket No.: 109159

For: NETWORK TRADING SYSTEM AND METHOD

RECEIVED

20 JUL 2001

Legal Staff
International Division

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- 3. A concise explanation of the relevance of non-English language reference 8 is attached hereto.
- 4. English-language Abstracts of non-English language References 5 and 7 are attached hereto.

5. Reference 2 corresponds with References 1, 3, 4 and 6.

Respectfully submitted,



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| Form PTO-1449 (REV. 8-83) | | US Dept. of Commerce PATENT & TRADEMARK OFFICE | ATTY DOCKET NO. 109159 | APPLICATION NO. 09/807,222 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------------------------------------------------------------------------------------------------------|---------------------------|-------------------------------|
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | |
| | | APPLICANT Toshiaki NAGAI | | |
| | | FILING DATE April 11, 2001 | | |
| U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME |
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| FOREIGN PATENT DOCUMENTS | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
| | 8 | Nikkei Johou Strategy, No. 81 (Japan), Nikkei BP K.K. (12-24-1998), pages 58-66. (w/partial translation) | | |
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| EXAMINER | | | DATE CONSIDERED | |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | |